

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Patent Application of)

Koichiro KISHIMA et al.)

Application No. 09/940,938)

Filed: August 29, 2001)

For: OPTICAL SYSTEM, METHOD OF)
PRODUCING OPTICAL SYSTEM, AND)
OPTICAL PICKUP)

Art Unit: 2819

**RESPONSE TO NOTICE AND
SUBMISSION OF EXECUTED DECLARATION**Commissioner for Patents
Washington, D.C. 20231

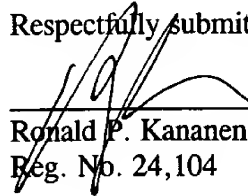
Sir:

In response to the Notice to File Missing Parts of Application mailed October 3, 2001 (copy of which is returned herewith), attached hereto is a Declaration and Power of Attorney, duly executed by the inventor, referring to the above-identified serial number and filing date in compliance with 37 CFR §1.116. Please charge Deposit Account No. 18-0013 in the amount of \$130.00 in payment of the surcharge for other than a small entity.

The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No. 18-0013. A duplicate copy of this letter is enclosed for that purpose.

Respectfully submitted,

Dated: December 11, 2001



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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/940,938	08/29/2001	Koichiro Kishima	SON-2217

23353
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CONFIRMATION NO. 5834

FORMALITIES LETTER



OC000000006836906

Date Mailed: 10/03/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/13/2001 EABUBAK1 00000016 180013 09940938

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